INTRODUCTION

Modern society is characterized by an increase in obesity prevalence, and concurrently by alterations in sleep habits. A negative relationship between sleep duration and quality, and risk for metabolic disruptions and obesity has been established (Knutson & Van Cauter, 2008; Laposky, Bass, Kohsaka, & Turek, 2008; Laposky, Bradley, Williams, Bass, & Turek, 2008; Mavanji, Billington, Kotz, & Teske, 2012; St-Onge, 2013; Vgontzas, Bixler, Chrousos, & Pejovic, 2008), verifying the role of sleep in the regulation of endocrine functions, glucose metabolism and energy homeostasis.

However, the relationship between sleep, high-caloric diet (HCD) and obesity is likely bidirectional. Obesity is associated with daytime sleepiness and has been characterized as a significant risk factor for sleep disturbances, independent of sleep disorder breathing and age (Bixler et al., 2005). As obesity is also associated with a wide
range of chronic diseases (Mokdad et al., 1999; Vgontzas et al., 1994), multiple animal models have been developed to study the interaction between sleep and excess body weight.

The majority of these animal models consisted of gene knockout animals, like ubiquitin knockout mice (Ubb−/−) and narcoleptic mice (de Lecea, 2010; Ryu, Garza, Lu, Barsh, & Kopito, 2008; Ryu et al., 2010; Zhang, Zeitzer, Sakurai, Nishino, & Mignot, 2007) spontaneous mutations in genes like leptin-deficient (ob/ob) or Db/db mice and Obese Zucker rats (Danguir, 1989; Laposky et al., 2006; Laposky, Bass, et al., 2008; Megirian, Dmochowski, & Farkas, 1998), polygenic models like the OP/OR rat model (Mavanji, Teske, Billington, & Kotz, 2010). Only a rather small amount of studies was conducted on diet-induced obese mice or rats (Danguir, 1987; Guan, Vgontzas, Bixler, & Fang, 2008; Jenkins et al., 2006; Luppi et al., 2014), a condition probably closer related to human obesity. Several characteristic changes in sleep noted in humans with obesity were found in these models, for example, increased sleep especially during the active phase, and changes in waking, NREM and REM sleep episode number and duration throughout the 24 hr (Mavanji et al., 2012).

In adult humans, the circadian distribution of sleep is monophasic, whereas in rodents, it is polyphasic. Despite this difference, the main homeostatic, circadian and neurochemical modulations of sleep remain essentially similar among species (Stenberg, 2007). We consider sleep to be regulated by two main processes, a circadian process governed by the internal biological clock, and a sleep homeostatic process which is dependent on prior waking and sleep (Achermann & Borbély, 2017; Borbély, Daan, Wirz-Justice, & Deboer, 2016). In mammals, the homeostatic sleep process is thought to be reflected in the NREM sleep electroencephalographic (EEG) slow-wave activity (SWA), which is the EEG power density between 0.75 and 4.0 Hz (Achermann & Borbély, 2017; Borbély et al., 2016). The dynamics of sleep regulation have been successfully simulated with the use of the two-process model, for the human monophasic sleep-wake pattern (Achermann & Borbély, 1994), as well as for the rodents’ polyphasic one (Deboer, 2009; Deboer, van Diepen, Ferrari, Van den Maagdenberg, & Meijer, 2013; Franken, Tobler, & Borbély, 1991; Huber, Deboer, & Tobler, 2000; Vyazovskiy, Achermann, & Tobler, 2007).

In the aforementioned diet-induced obese models, the regulation of sleep has not been studied. By conducting sleep deprivation, the system is put under elevated homeostatic sleep pressure to better assess the regulation of sleep. This is strengthened by applying parameter estimation analysis and mathematical modeling of the observed homeostatic sleep response visible in the EEG SWA, which is directly associated with the homeostatic sleep process.

We, therefore, investigated the effect of chronic HCD exposure (12 weeks) on baseline (BL) sleep architecture and electroencephalogram (EEG) parameters, as well as after 6 hr of sleep deprivation in C57BL/6J mice. In addition, we performed parameter estimation analysis and simulation of the sleep homeostatic Process S during the 48-hr recording period, in order to test whether the time course of SWA can be predicted on the basis of temporal organization of sleep. We found altered sleep patterns in mice fed with chronic HCD compared with controls, reflected mainly in increased REM sleep, changes in absolute SWA and a modulated response to SD. The differences in the dynamics of the SWA levels were consistent with changes found in the estimated time constants of Process S, illustrating first the bidirectional relationship between obesity and sleep, and second, a slower build-up of sleep pressure induced by HCD, leading to an altered sleep homeostasis.

## 2 MATERIALS AND METHODS

### 2.1 Animals

Young male C57BL/6JOlaHsd mice (6 months old; \( n = 20 \)) (Harlan, Horst, the Netherlands) were used for this study. The C57BL/6 mouse strain is known to be vulnerable to altered dietary patterns, for example high-fat diet, where it induces obesity, hyperglycemia, hyperinsulinemia (Black et al., 1998; Grubb, Maddatu, Bult, & Bogue, 2009), rendering it an appropriate model to study the effects of diet, obesity and metabolic syndrome. At the age of 3 months, mice were partitioned into two groups: the control group, in which mice were fed with normal chow (11% fat, 27% protein, 61% carbohydrate, Special Diet Services, UK) and the HCD fed group, in which mice were fed exclusively with high-caloric food (45% fat mainly derived from lard, 35% carbohydrate, 20% protein; D12451, Research Diet Services, The Netherlands) for 12 weeks. By providing mice with a chronic HCD diet, we attempted to simulate the human condition as closely as possible, including anatomical (weight gain and body composition) and physiological changes in endocrinology and metabolism, which are reported in the literature (Black et al., 1998; Grubb et al., 2009). The well-being of all mice was controlled for potential side effects of the diet, and it was ascertained that the animals did not develop any movement problems. The mice were individually housed under controlled conditions (12:12-hr light:dark cycle; lights on at 10:00) with food and water ad libitum in a temperature controlled room (~23°C).

All animal experiments were approved by the Animal Experiments Ethical Committee of the Leiden University Medical Centre (the Netherlands) and were carried out in accordance with the EU Directive 2010/63/EU on the protection of animals used for scientific purposes.
and a counterbalanced swivel system to the recording setup, where conditions were similar to the home cage. Before starting the experiment, animals were allowed to adjust to the experimental conditions for a week. Later, a BL day was recorded, starting at lights on. At the start of the second day, 6 hrs of SD was conducted by gentle handling, which is a mild intervention in order to induce elevated sleep pressure conditions (Deboer et al., 2013; Huber et al., 2000; Panagiotou et al., 2017). EEG and EMG were recorded continuously during SD and, subsequently, for 18 hrs to investigate sleep characteristics after SD.

2.4 | Data analysis and statistics

Three vigilance states (Waking, NREM sleep and REM sleep) were scored offline in 4-s epochs by visual inspection of EEG and EMG signals as described previously (Deboer et al., 2013; Huber et al., 2000; Panagiotou et al., 2017; Tobler, Deboer, & Fischer, 1997). For each epoch, the EEG power density in the delta (0.75–4.0 Hz) and theta band (6.25–9.0 Hz) and the integrated EMG value were graphically displayed on a PC monitor to enable scoring of the different vigilance states. “Waking” was identified by high EMG and low EEG amplitude as well as high theta activity concomitant with highest EMG values, “NREM sleep” by low EMG and high EEG amplitude as well as high delta activity and “REM sleep” by low EMG and low EEG amplitude as well as high theta activity. The scores for each 4-s epoch were entered into the PC via the keyboard. Epochs in which the vigilance state could not be identified were excluded, and epochs that contained EEG artifacts were marked and excluded from spectral analysis. Later, the vigilance states were expressed as a percentage of artifact-free recording time. The number and duration of the episodes for each state were computed according to the scoring results. Light and dark (L1, D1, L2, D2 for light and dark periods of the first, BL and second day respectively, after SD) mean values of vigilance states, state episode frequency and duration, transition probabilities and probabilities of repeated NREM-REM sleep cycles’ (NRc) were analyzed by three-way repeated measures analysis of variance (rANOVA) with main factors “treatment” (between-subject factor), “day,” and “Light-Dark” (within-subject factors) to test the effect of SD, and, consequently, by two-tailed unpaired t tests for each period to determine the effect of treatment. Note that L2 corresponds to the 6-hr after SD and is compared to the corresponding 6-hr of the BL. An SD effect was considered when an interaction or the factor “day” was significant. The factor “treatment” generally refers to the effect of diet. 24-hr mean values of vigilance states were analyzed by two-tailed unpaired t tests. To test the effect of treatment and SD, 2-hr values of vigilance states were analyzed by three-way repeated measures ANOVA with main factors “treatment” (between-subject factor), “day,” and “time of day” (within-subject

### Table 1

<table>
<thead>
<tr>
<th></th>
<th>Waking</th>
<th>NREM sleep</th>
<th>REM sleep</th>
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<tbody>
<tr>
<td><strong>Control mice</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>L1</td>
<td>20 (1.8)</td>
<td>24 (1.1)</td>
<td>10 (1.1)</td>
</tr>
<tr>
<td>D1</td>
<td>16.1 (1.8)</td>
<td>17.5 (1.8)</td>
<td>3.3 (0.5)</td>
</tr>
<tr>
<td>L2</td>
<td>16.9 (2.5)</td>
<td>20.8 (2.2)</td>
<td>8.5 (0.8)</td>
</tr>
<tr>
<td>D2</td>
<td>17.7 (1.2)</td>
<td>20.1 (1.1)</td>
<td>5.7 (0.7)</td>
</tr>
<tr>
<td><strong>HCD mice</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>L1</td>
<td>19 (2.5)</td>
<td>26.4 (1.8)</td>
<td>15.2 (2.3)*</td>
</tr>
<tr>
<td>D1</td>
<td>13.3 (2.2)</td>
<td>15.3 (1.8)</td>
<td>4.4 (0.8)</td>
</tr>
<tr>
<td>L2</td>
<td>13.3 (1)</td>
<td>21 (1.5)</td>
<td>14.1 (1.9)*</td>
</tr>
<tr>
<td>D2</td>
<td>17.6 (2.4)</td>
<td>21.8 (1.9)</td>
<td>9.4 (1.4)*</td>
</tr>
</tbody>
</table>

*Note. Mean numbers (± SEM) of state episodes are shown for waking, NREM and REM sleep, counted for light and dark periods (L1, D1, D2 for 12-hr periods and L2 for the 6-hr recovery period after sleep deprivation) during the 48-hr period of recordings for control and high-caloric diet (HCD) fed mice. Asterisks indicate significant differences between groups (unpaired t tests for each period, p < 0.05). *p < 0.05 between control and HCD mice in specific light–dark periods.

2.2 | Surgeries

On the day of the surgery, control animals (n = 11) weighed on average 30.7 g (±0.8), whereas HCD fed animals (n = 9) weighed 47.6 g (±0.8), showing a 65% increase in body weight compared with controls (p < 0.0001). Under deep anesthesia (Ketamine 100 mg/kg; Xylazine 10 mg/kg; and Atropine 1 mg/kg), EEG recording screws (placed above the somatosensory cortex and cerebellum) and electromyogram (EMG) electrodes (placed on the neck muscle) (Plastics One, Roanoke, VA, USA) were implanted as described previously (Deboer et al., 2013; Panagiotou, Vyazovskiy, Meijer, & Deboer, 2017). The wire branches of all electrodes were set in a plastic pedestal fixed to the skull with dental cement. The mice were allowed to recover for 7–10 days.

2.3 | EEG and EMG recordings

The EEG and EMG were recorded with a portable recording system (PS 1 system, Institute of Pharmacology and Toxicology, Zurich, Switzerland) as previously described (Deboer, Ruijgrok, & Meijer, 2007; Deboer et al., 2013; Panagiotou et al., 2017). Before each recording, a calibration signal (10 Hz sine wave 300 μV peak-to-peak) was recorded on the EEG and EMG channels. Both signals were amplified, conditioned by analogue filters and sampled at 512 Hz. The signals were filtered through a digital finite impulse response filter and stored with a resolution of 128 Hz. EEG power spectra were computed for consecutive 4-s epochs by a FFT routine within the frequency range of 0.25–25.0 Hz.

To record the EEG and EMG, animals were placed into experimental chambers and connected through a flexible cable
factors). To compare NREM sleep EEG power density between the groups across three frequency bins (0.5–4, 6–9 and 15–25 Hz), two-way ANOVA was performed (main factors “treatment” and “frequency”). To test the effect of SD, three-way ANOVA (a repeated measures experimental design was not used due to missing values in both groups across different timepoints) was performed (main factors “treatment,” “day,” and “time of day”) for each frequency bin. Regarding the simulation, two-way repeated measures ANOVA was performed (main factors “time of day” and “simulation”) for the two experimental groups. The time constants ($T_i$, $T_d$) and the initial value (IV) were tested with two-tailed unpaired $t$ tests to determine the effect of treatment. When appropriate (if interaction or main factor effects were significant), post hoc two-tailed paired and unpaired Student’s $t$ tests with Bonferroni correction for multiple comparisons were applied to determine the effects of SD or treatment. Correlation coefficient $r$-values were averaged after Fisher-Z transformation.

### 2.4.1 Transition probabilities

Transition probabilities were calculated on the basis of frequencies of vigilance state episodes, counted for each mouse individually (Table 1), as it was described previously (Deboer & Tobler, 1996). Transition probabilities ($p$) were calculated from the following formulas ($\#W$, $\#N$, $\#R$ for the number of Waking, NREM and REM sleep episodes respectively and $p_{W\rightarrow N}$, $p_{N\rightarrow R}$, $p_{N\rightarrow W}$, $p_{R\rightarrow W}$, $p_{R\rightarrow N}$ the transition probabilities between Waking (W), NREM (N) and REM (R) sleep states). It is considered that each waking episode is followed by a NREM sleep episode, rendering this transition probability 100% and that, as REM sleep is preceded only by NREM sleep, the probability for REM sleep to occur is equal to the number of REM sleep episodes ($\#R$) divided by the number of NREM sleep episodes ($\#N$):

\[ p_{W\rightarrow N} = 100\% \]  
(1)

\[ p_{N\rightarrow R} = \frac{\#R}{\#N} \]  
(2)

\[ p_{N\rightarrow W} = 1 - \frac{\#R}{\#N} \]  
(3)

\[ p_{R\rightarrow W} = \left( \frac{\#W}{\#N} \ast p_{N\rightarrow W} \right) / \#R \]  
(4)

\[ p_{R\rightarrow N} = 1 - p_{R\rightarrow W} \]  
(5)

### 2.4.2 Simulation

We simulated the time course of Process $S$ iteratively on the basis of the vigilance states, as described previously (Deboer, 2009; Deboer et al., 2013; Franken et al., 1991; Huber et al., 2000; Vyazovskiy et al., 2007). In the 4-s epochs scored as waking or REM sleep, $S$ increases as a saturating exponential function with an upper asymptote of 1, while in NREM, sleep $S$ decreases as an exponential function with a lower asymptote of 0. Time constants for the decrease ($T_d$) and increase ($T_i$) were determined for each mouse separately, on the basis of vigilance states of the 24-hr BL period, 6-hr SD and 18-hr recovery period.

$S$ was computed according to:

increasing function:

\[ S_{t+1} = 1 - (1 - S_t) \cdot e^{-\Delta t/T_i} \]  
(6)

decreasing function:

\[ S_{t+1} = S_t \cdot e^{-\Delta t/T_d} \]  
(7)

where $t = 4$-s intervals, $\Delta t = 4$-s, $S_i$ and $S_{t+1}$ values of $S$ for consecutive epochs, and $T_i$ and $T_d$ the time constants of the increase and decrease rate of $S$, respectively. The time constants $T_i$ and $T_d$ and the initial value of $S$ (IV = $S_0$) were estimated by optimizing the linear correlation between the hourly values of SWA in NREM sleep and $S$, in BL and recovery for each animal separately. To test whether the estimated parameters could predict the time course of SWA, a simulation was performed over the entire data set consisting of BL, 6-hr SD which immediately followed the BL day, and recovery. The optimized parameters of each individual animal were applied to obtain average curves of process $S$. To enable the comparison between SWA and $S$, SWA was linearly transformed according to a linear regression based on the 1-hr values.

### 3 Results

#### 3.1 Vigilance states

During undisturbed BL, mice fed HCD showed increased REM sleep during the light period, as compared to control mice, whereas no differences were found in the dark period and only a trend was found in the 24-hr values (Figure 1) [REM sleep, post hoc unpaired $t$ test for L1, ($t,df$) = (2.46,72); $p = 0.016$ following significant factor “treatment” and 24-hr value: ($t,df$) = (1.97,18); $p = 0.065$] (Table 2). No further alterations were detected between the groups in the 12-hr or 24-hr NREM sleep and waking values ($p > 0.05$). Compared to BL, decreased waking and increased REM sleep were apparent during the 12-hr dark period after SD in the control mice (Figure 1) [post hoc two-tailed paired $t$ tests between BL and after SD time following significant factors “day” and “Light-Dark”] (Table 2). In HCD fed mice, decreased waking, as well as increased NREM sleep in both light and dark periods and increased REM sleep in the 12-hr dark period, was found [post hoc two-tailed paired $t$ tests between BL and after SD time following significant factors “day” and “Light-Dark”] (Table 2).

Differences in the 2-hr values of the continuous recordings were mainly evident in REM sleep, where after SD they
were more pronounced between the groups, and, additionally, in both groups, an effect of SD was apparent on the 2-hr values (Figure 2) [post hoc two-tailed unpaired \( t \) tests between groups following significant interaction as well as factor “treatment” and paired \( t \) tests between BL and after SD time following significant factors “day” and “time of day”] (Table 2).

### 3.2 | Episode duration and frequency

We found that diet-induced obesity influenced the 12-hr average light and dark NREM and REM sleep episode duration and frequency (Figure 3). In HCD fed mice, REM sleep episodes were more frequent in both light periods, and they lasted significantly shorter in both dark periods [Frequency, post hoc unpaired \( t \) test following significant factor “treatment” for L1: \((t, df) = (2.8, 72); p = 0.0067\), L2: \((t, df) = (3.8, 72); p = 0.0003\), D2: \((t, df) = (2.4, 72); p = 0.02\). Duration, D1: \((t, df) = (2.13, 72); p = 0.037\) D2: \((t, df) = (2, 72); p = 0.0485\] (Table 2). Under HCD, NREM sleep episodes lasted significantly longer in the BL dark period compared with control [Duration, post hoc unpaired \( t \) test following significant interaction, D1: \((t, df) = (2.43, 72); p = 0.0176\] (Table 2).

After SD, HCD fed mice had more NREM sleep episodes in the light period compared with controls [Frequency, post hoc unpaired \( t \) test following significant factors “day” and “Light-Dark” L2: \((t, df) = (3.2, 72); p = 0.002\] (Table 2). Compared to BL, SD decreased REM sleep episode frequency in the light period and increased REM sleep episode frequency in the dark period in control animals and increased NREM and REM sleep episode frequency in the dark period in HCD fed animals [post hoc two-tailed paired \( t \) tests between BL and after SD time following significant factors “day” and “Light-Dark”] (Table 2). In addition, SD shortened waking and NREM sleep episode duration during the dark period and lengthened REM sleep episode duration during the light period in HCD fed mice [post hoc two-tailed paired \( t \) tests between BL and after SD time following significant factors “day” and “Light-Dark”] (Table 2).

The state transition probability analysis showed that, in the HCD-treated mice, a NREM sleep episode was more likely to be followed by a REM sleep episode and less likely to be followed by a waking episode, particularly after SD (Figure 4).
### Table 2  Detailed statistics

<table>
<thead>
<tr>
<th>Figure</th>
<th>Vigilance state</th>
<th>Three-way ANOVA Interaction factors</th>
<th>Main factors</th>
</tr>
</thead>
</table>
| 1      | Waking         | “treatment*day*Light-Dark”: $F(1, 18) = 0.365$  
$p = 0.553$ | “treatment” $p = 0.344$  
“day” $p < 0.0001$  
“Light-Dark” $p < 0.0001$ |
|        | NREM sleep     | “treatment*day*Light-Dark” $F(1, 18) = 0.511$  
$p = 0.484$ | “treatment” $p = 0.985$  
“day” $p < 0.0001$  
“Light-Dark” $p = 0.004$ |
|        | REM sleep      | “treatment*day*Light-Dark” $F(1, 18) = 0.008$  
$p = 0.93$ | “treatment” $p = 0.02$  
“day” $p < 0.0001$  
“Light-Dark” $p < 0.0001$ |
| 2      | Waking         | “treatment*day*time of day” $F(11, 198) = 1.704$  
$p = 0.075$ | “treatment” $p = 0.525$  
“time of day” $p < 0.0001$  
“day” $p < 0.0001$ |
|        | NREM sleep     | “treatment*day*time of day” $F(11, 198) = 1.236$  
$p = 0.265$ | “treatment” $p = 0.672$  
“time of day” $p < 0.0001$  
“day” $p < 0.0001$ |
|        | REM sleep      | “treatment*day*time of day” $F(11, 198) = 3.191$  
$p = 0.001$ | “treatment” $p = 0.032$  
“time of day” $p < 0.0001$  
“day” $p = 0.001$ |
| 3      | Waking         | “treatment*day*Light-Dark” $F(1, 18) = 0.007$  
$p = 0.936$ | “treatment” $p = 0.932$  
“day” $p = 0.635$  
“Light-Dark” $p = 0.042$ |
|        | NREM sleep     | “treatment*day*Light-Dark” $F(1, 18) = 0.004$  
$p = 0.951$ | “treatment” $p = 0.22$  
“day” $p < 0.0001$  
“Light-Dark” $p = 0.033$ |
|        | REM sleep      | “treatment*day*Light-Dark” $F(1, 18) = 0.265$  
$p = 0.613$ | “treatment” $p = 0.012$  
“day” $p < 0.0001$  
“Light-Dark” $p = 0.063$ |
| 4      | Transition probability NREM-REM sleep | “treatment*day*Light-Dark” $F(1, 18) = 0.01$  
$p = 0.922$ | “treatment” $p = 0.91$  
“day” $p < 0.0001$  
“Light-Dark” $p = 0.017$ |
|        |                | “treatment*day*Light-Dark” $F(1, 18) = 7.782$  
$p = 0.012$ | “treatment” $p = 0.751$  
“day” $p = 0.658$  
“Light-Dark” $p = 0.143$ |
|        |                | “treatment*day*Light-Dark” $F(1, 18) = 1.03$  
$p = 0.324$ | “treatment” $p = 0.021$  
“day” $p < 0.0001$  
“Light-Dark” $p < 0.0001$ |
| 5      | Slow-wave activity (SWA) in NREM sleep | “treatment*day*time of day” $F(11, 411) = 0.093$  
$p = 0.99$ | “treatment” $p < 0.0001$  
“day” $p = 0.028$  
“time of day” $p = 0.54$ |
|        | 6–9 Hz in NREM sleep | “treatment*day*time of day” $F(11, 418) = 0.071$  
$p > 0.99$ | “treatment” $p = 0.902$  
“day” $p = 0.032$  
“time of day” $p = 0.995$ |
|        | 15–25 Hz in NREM sleep | “treatment*day*time of day” $F(11, 411) = 0.032$  
$p > 0.99$ | “treatment” $p = 0.223$  
“day” $p = 0.093$  
“time of day” $p > 0.99$ |

(Continues)
Moreover, more sleep episodes were terminated after one NREM-REM sleep cycle (NRc) in the control mice compared with the HCD fed mice (L1: 82% vs. 73%, D1: 92% vs. 84%, L2: 79% vs. 66% and D2: 88% vs. 79%) [main effects “day” and “Light-Dark”] (Table 2). As a result, the probability that a NRc was followed by a second NRc was approximately two times larger in the HCD fed mice compared with controls (L1: 18% vs. 27%, D1: 8% vs. 16%, L2: 21% vs. 34% and D2: 12% vs. 22%) (Figure S1). Together the data suggest that sleep consolidation was higher in HCD-treated mice.

### 3.3 Absolute EEG power density (0.5–25 Hz)

Regarding the spectral analysis of the EEG, differences in the NREM sleep EEG power density between the groups were found across frequency bins (0.5–4, 6–9 and 15–25 Hz) [two-way ANOVA, interaction factors “treatment*frequency”; $F(2, 711) = 3.7, p = 0.025$ with main factors “treatment” $p = 0.057$ and “frequency” $p < 0.0001$], which were specific for the SWA range (0.5–4.0 Hz), whereas no differences between the groups were found in the absolute EEG power density levels of 6–9 or 15–25 Hz [post hoc one-way ANOVA, factor “treatment”; $F(1, 215) = 10.4, p = 0.001$] (Figure 5). HCD fed mice had overall significantly lower absolute SWA levels (Figure 5, top panel). In the first hours after SD, SWA was significantly increased above BL levels in both groups [post hoc two-tailed paired t tests between BL and after SD time points following significant factor “day”] (Table 2). Compared to BL, HCD-treated mice showed lower SWA values in the dark period of the recovery day displaying a negative rebound, similar to the negative rebound found previously in the rat (Franken et al., 1991). No differences between groups were found in the other frequencies. In both groups, SD induced alterations compared with BL in the theta but not the faster frequencies [post hoc two-tailed paired t tests between BL and after SD time following significant factor “day”] (Table 2). The absence of differences between the groups in the absolute EEG power

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**Table 2** (Continued)

<table>
<thead>
<tr>
<th>Figure</th>
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<tbody>
<tr>
<td>Calculation of vigilance state probability for repeated measures analysis of variance (rANOVA) or ordinary ANOVA was conducted to test the effect of sleep deprivation and high-caloric diet (treatment) (see text for more details). Asterisks following reported p values indicate significance (*p &lt; 0.05).</td>
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![Figure 2](image-url)

**FIGURE 2** Time course of vigilance states, for 24-hr baseline (BL), 6-hr sleep deprivation (SD, hatched bar), and 18-hr recovery for the two groups, control (black circles, $n = 11$) and high-caloric diet (HCD)-treated mice (gray circles, $n = 9$). Curves connect 2-hr values of Waking, NREM, and REM sleep. The black and white bars above each graph indicate the light–dark cycle. Black asterisks at the top of each graph represent significant differences between the groups and black (control) and gray (HCD) bars at the bottom of each graph significant differences between recovery and BL day (post hoc unpaired and paired t tests with Bonferroni multiple comparisons correction, $p < 0.05$ after significant repeated measures ANOVA, main factors “treatment,” “time of day,” and “day”)
density levels of 6–9 or 15–25 Hz (Figure 5, middle and bottom panels) showed that the effect on SWA was specific for this frequency range and not caused by a general decrease in EEG power density in the HCD fed mice.

3.4 | Simulation of process S

The differences in sleep-wake distribution and the lower SWA (Figures 1 and 5) suggest that homeostatic sleep pressure may be lower in HCD-treated mice. To investigate this further, we simulated the time course of Process S in both groups. The increasing time constant was found to be significantly higher in the HCD fed mice compared with control, whereas no difference was found in the decreasing time constant [HCD mice: $T_i = 15.98 \pm 0.64$, Control mice: $T_i = 5.95 \pm 0.21$; ($t,df$) = (16.1966,18); $p < 0.0001$, HCD mice: $T_d = 6.22 \pm 0.84$ and Control mice: $T_d = 6.0 \pm 0.41$; ($t,df$) = (0.25,18); $p = 0.81$]. The initial value was found to be significantly lower in the HCD fed mice [HCD mice: $iV = 0.42 \pm 0.02$, Control mice: $iV = 0.65 \pm 0.02$; ($t,df$) = (9.549,18); $p < 0.0001$]. The simulation of Process S was applied to the 24-hr BL, 6-hr SD and 18-hr recovery, taking into account the parameters estimated for the two consecutive days of recordings of the individuals, and resulted in a close fit between SWA and process S for both groups (Figure 6) [Control mice: $r = 0.733$, HCD mice: $r = 0.775$]. Discrepancies between the simulation and SWA were found in the light period and after SD for both the HCD fed as well as Control mice, similar to an earlier study [two-way rANOVA, interaction factors “simulation*time of day,” HCD mice: $F(41, 330) = 7.657; p < 0.0001$ with main factors “simulation” $p = 0.919$ and “time of day” $p = 0.049$, Control mice: $F(41, 407) = 7.994; p < 0.0001$ with main factors “simulation” $p = 0.931$ and “time of day” $p < 0.0001$] (Huber et al., 2000). The larger increasing time constant in the HCD-treated mice indicates that homeostatic sleep pressure increases slower in these animals.

**FIGURE 3** Light–dark distribution of episode frequency (frequency/hour, left) and duration (minutes, right) of each behavioral state (Waking, NREM, and REM sleep) during the baseline day (BL: L1 and D1) and after sleep deprivation (after SD: L2 and D2). Scatter plots represent mean (±SD) values (L1, D1, D2 correspond to 12-hr values and L2 to 6-hr values for the recovery period after SD, for light and dark periods during the 48-hr recordings respectively) for Waking, NREM, and REM sleep for control ($n = 11$, black) and high-caloric diet-fed mice (HCD, $n = 9$, gray). Asterisks indicate significant differences between the groups (unpaired t tests for each period, $p < 0.05$, after significant repeated measures ANOVA, main factors “treatment,” “Light-Dark,” and “day”), and black and gray plus symbols indicate significant differences between recovery and BL day for control and HCD fed mice, respectively (post hoc paired t tests with Bonferroni multiple comparisons correction, $p < 0.05$, after significant repeated measures ANOVA, main factors “treatment,” “Light-Dark,” and “day”).
In this study, we recorded sleep and the sleep EEG during undisturbed 24-hr BL in control mice and mice fed on HCD for 3 months, and applied a 6-hr SD to investigate potential differences in sleep regulation under influence of elevated sleep pressure. We found moderate sleep architecture alterations denoted by an increased REM sleep amount. This effect was more pronounced after SD, characterized mainly by an increased probability to enter REM sleep from NREM sleep in HCD fed mice compared with control mice, suggesting an increase in sleep consolidation in HCD fed mice. In addition, HCD-treated mice revealed overall lower absolute SWA levels during NREM sleep, as well as a significant slower increasing time constant for the homeostatic sleep process, compared to control mice. These changes in SWA were corroborated by changes in the levels of the modeling of the putative average level of the homeostatic sleep process and a slower increase rate in sleep pressure. We conclude that chronic HCD modulates the sleep architecture, although without modifying the daily amplitude of the vigilance state rhythms, and alters sleep homeostasis.

4 | DISCUSSION

In this study, we recorded sleep and the sleep EEG during undisturbed 24-hr BL in control mice and mice fed on HCD for 3 months, and applied a 6-hr SD to investigate potential differences in sleep regulation under influence of elevated sleep pressure. We found moderate sleep architecture alterations denoted by an increased REM sleep amount. This effect was more pronounced after SD, characterized mainly by an increased probability to enter REM sleep from NREM sleep in HCD fed mice compared with control mice, suggesting an increase in sleep consolidation in HCD fed mice. In addition, HCD-treated mice revealed overall lower absolute SWA levels during NREM sleep, as well as a significant slower increasing time constant for the homeostatic sleep process, compared to control mice. These changes in SWA were corroborated by changes in the levels of the modeling of the putative average level of the homeostatic sleep process and a slower increase rate in sleep pressure. We conclude that chronic HCD modulates the sleep architecture, although without modifying the daily amplitude of the vigilance state rhythms, and alters sleep homeostasis.

4.1 | Sleep architecture

Moderate effects of the HCD on sleep architecture were revealed by our results in the BL dark period. Earlier studies showed an increase in the 12-hr value of NREM sleep and a decrease in waking during the dark period (Guan et al., 2008; Jenkins et al.,
These effects were not apparent in our study; however, during the BL dark period, we found longer NREM sleep episodes and shorter REM sleep episodes. As earlier studies exposed mice to a HCD for a shorter period (usually 8 weeks), a potential explanation for the absence of findings in the amount of waking and NREM sleep in our study could be the longer-term administration of HCD possibly allowing mice to have additional time to adapt to the diet. A clear finding was the increase in REM sleep that was apparent in the HCD-fed mice, particularly in the light period compared with control mice, originating from an increase in REM sleep episode frequency, similar to previous studies on HCD in rodents (Danguir, 1987; Guan et al., 2008; Jenkins et al., 2006; Luppi et al., 2014).

We conducted further analysis on the number of episodes and showed that an episode of NREM sleep was followed more often by a REM sleep episode in the HCD-treated mice, mainly shown after SD, similar to a study in obese compared with lean Zucker rats (Danguir, 1989). In addition, HCD-treated mice revealed an increased probability of an NREM-REM sleep cycle to be followed by a second NREM-REM sleep cycle. Overall, these data suggest that sleep consolidation is greater in HCD fed mice compared with controls. The concomitant absence of sleep fragmentation in our data confirms earlier research in diet-induced obese rodents (Danguir, 1987; Guan et al., 2008; Hansen, Kapas, Fang, & Krueger, 1998; Jenkins et al., 2006).

The increased transitions into REM sleep can be caused by several different mechanisms. The preoptic area in the hypothalamus is involved in basal metabolism and has also been associated with REM sleep regulation (Szymbusia, Gvilia, & McGinty, 2007) and thermoregulation (Morrison, Madden, & Tupone, 2014). Thermoregulatory control is suspended during REM sleep (Kräuchi & Deboer, 2010) which is the reason why forced thermoregulatory activation, for instance cold exposure, depresses REM sleep (Cerri et al., 2005; Kräuchi & Deboer, 2010). In contrast, sleeping in thermoneutral conditions, where active thermoregulation is not necessary, increases REM sleep (Szymbusia & Satinoff, 1981). Likewise, Dzungarian hamsters that reduce body temperature, when exposed to short photoperiods to save energy with reduced thermoregulation, show an increase in NREM-REM sleep transitions when kept at the same ambient temperature, as demonstrated in the present study (Deboer & Tobler, 1996). In these hamsters, there was a clear relationship between the NREM-REM transition probability and the set point of body temperature. In accordance with this, it was shown that the metabolic efficiency index, an indirect measure for metabolic rate, was lower in high-fat diet-fed mice compared with normal diet-fed mice (Winzell & Ahrén, 2004). Considering the body composition changes in HCD fed mice, which gained weight and have a smaller surface-to-content ratio compared with control mice, it is likely that these mice will cool down

FIGURE 6 Time course of electroencephalographic slow-wave activity (EEG SWA, EEG power density in the range of 0.5–4.0 Hz, linearly transformed according to a linear regression based on the 1-hr values) and simulation with the optimized time constants for the increase ($T_i$), decrease ($T_d$) and initial value ($iV$) of Process S for the control mice (white and black squares, $n = 11$) and the high-caloric diet-fed (HCD) mice (white and black circles, $n = 9$). Curves connect 1-hr mean values ($\pm$SEM) for 24-hr baseline (BL), 6-hr sleep deprivation (SD), and 18-hr recovery. Black and gray asterisks indicate differences between simulation and SWA data for the control and the HCD fed group, respectively (paired t tests with Bonferroni multiple comparisons correction, $p < 0.05$ after significant repeated measures ANOVA, factors “simulation,” “time of day”). The optimized mean values of $T_i$, $T_d$, and $iV$ for each condition are noted on the graph along with the $p$ levels (unpaired $t$ tests, significance when $p < 0.05$).
slower and will probably show less thermoregulatory activity. This is in congruence with a reduced metabolic rate and an increase in REM sleep episode frequency. Therefore, a mere change in body size and thermoregulatory activity may explain the difference in REM sleep patterns observed.

However, changes in neurotransmitter release, under influence of HCD, may also alter sleep. Obesity has been associated with decreased levels of orexin in humans and mice (Baranowska, Wolinska-Witort, Martynska, Chmielowska, & Baranowska-Bik, 2005; Bronsky et al., 2007; Nobunaga et al., 2014; Stricker-Krongrad, Richy, & Beck, 2002). Orexin is predominantly found in posterior lateral hypothalamus and is a critical regulator of vigilance states, energy homeostasis, as well as the reward system and feeding (de Lecea, 2010; Saper, 2013). In narcolepsy, which is caused by the loss of neurons that contain orexins or orexin receptors, massive increases in REM sleep are found (Liblau, Vassalli, Seifinejad, & Tafti, 2015). Thus, changes in orexin levels in HCD fed mice may have also influenced sleep architecture in this group. Disentangling the different mechanisms affecting REM sleep in HCD-induced obese models may prove to be difficult, as the diet is likely to produce several of these changes in parallel, which may all promote the increase in REM sleep.

We found a remarkably intact day–night modulation of sleep and waking. In other words, the daily amplitude of the vigilance state rhythms did not differ between the two groups, owing to the modest effects of HCD on sleep architecture. HCD is known to disturb circadian organization, particularly in the peripheral clocks, whereas functioning of the central clock seems to remain intact (Blancas-Velazquez, Mendoza, García, & La Fleur, 2017). The present data show only minor changes in the distribution of sleep. This suggests that the day-night distribution of sleep and wakefulness is mainly determined by the central clock in the suprachiasmatic nucleus and only mildly influenced by changes in peripheral clocks.

4.2 | NREM sleep EEG SWA: Data and simulations

An overall decrease in absolute EEG SWA during NREM sleep was revealed in the HCD fed mice compared with control. This decrease was specific for the slow-wave range, as other frequency ranges (theta, fast frequency activity) were not affected. In addition, the absolute SWA levels in the HCD fed mice showed a negative rebound in the dark period after SD, similar to a previous study in rats (Franken et al., 1991), revealing an altered response to SD. A study in rats showed that increased sleep induced by high-fat/high-salt diet (i.e., cafeteria food) was associated with decreased EEG SWA during several days of cafeteria food (Hansen et al., 1998), similar to our findings. However, studies in C57BL/6 mice found no alterations in EEG SWA compared with control across a 6- or 10-week exposure to HCD experiment (Guan et al., 2008; Jenkins et al., 2006).

Previous findings of the effect of HCD on sleep and the sleep EEG were not always consistent. In our data, we found lower SWA and increased REM sleep that indicate lower homeostatic sleep pressure. To elucidate these findings, we further analyzed the effect of HCD on the regulation of sleep by modeling the homeostatic sleep response. We found a significantly higher increasing time constant and a lower initial value of $T_i$ in the HCD fed mice. The time constants obtained for the two groups could predict the effect of SD, with similar discrepancies between the two groups, consistent with the study of Huber et al. (2000). The discrepancies, as previously indicated, may emerge from the mathematical limitations of the model adjusted for mice data (Huber et al., 2000). It is important that the time constants of the control mice were similar to previous estimations in the C57BL/6J mouse strain (Huber et al., 2000). However, the significantly higher increasing time constant in the HCD fed mice ($T_i = 15.98$ hr) suggests that the build-up of sleep pressure during waking and REM sleep is slower in these mice. On the contrary, the decrease rate obtained from our data is similar between the groups, resembling previous reported decrease rates in mice (Huber et al., 2000) and remains unaffected by the HCD. The decreasing time constant is unaltered among different species, as indicated in mice, rats and humans (Huber et al., 2000), and it seems that it likely obtains more rigid properties. Therefore, a condition such as obesity is probably not expected to alter it significantly. Thus, sleep homeostasis seems to be influenced by HCD, rendering these mice less susceptible to prolonged waking.

The mechanisms underlying HCD influence on the occurrence of SWA or sleep homeostasis remain elusive. High-fat feeding and food restriction are thought to enhance ketogenesis (Chikahisa, Shimizu, Shiuchi, & Séi, 2014). Ketone bodies are generated from the breakdown of fatty acids and have been shown to become major fuels in most tissues during starvation, prolonged exercise, or consumption of a high-fat, low-carbohydrate diet (Robinson & Williamson, 1980). Ketogenic diet has been applied as a treatment for epilepsy, autism and brain tumors, and it has been shown to induce sleep alterations (Chikahisa et al., 2014) possibly by a shift of the excitatory/inhibitory (E/I) balance in the cortex to a more inhibitory state (Boison, 2017), which is consistent with the lower SWA found in our analysis (Vyazovskiy, Cirelli, Pfister-Genskow, Faraguna, & Tononi, 2008). Although less extreme than a pure ketogenic diet, HCD may have an effect on sleep homeostasis through the fatty acids metabolic pathway. In addition, changes in melanin-concentrating hormone (MCH), an appetite-stimulating peptide expressed in the lateral hypothalamus, shown to have an increased expression in adult
offspring after prenatal HCD exposure (Chang, Gaysinskaya, Karatayev, & Leibowitz, 2008), may also mediate SWA and/or sleep homeostasis alterations, as MCH neurons were proposed to be implicated in sleep-wake regulation (Konadhode et al., 2013). In conclusion, multiple pathways are likely to be involved in the case of obesity considering that sleep is governed by complex brain networks. Further research is, hence, needed to elucidate the mechanisms underlying the HCD effects on sleep homeostasis.

5 | CONCLUDING REMARKS

In the current study we show that, although sleep architecture is not strongly affected after chronic HCD, sleep homeostasis and the response to SD are altered. Translated to humans, we could deduce that HCD reduces the effect of prolonged waking on subsequent EEG SWA in NREM sleep. Prolonged waking is known to increase craving for food, especially for high-fat and high-carbohydrate food (Spiegel, Tasali, Penev, & Van Cauter, 2004). This change in diet may have a similar effect on the build-up of sleepiness as caffeine (Landolt, & Van Cauter, 2004). This change in diet may have a similar effect on the build-up of sleepiness as caffeine (Landolt, & Van Cauter, 2004). This change in diet may have a similar effect on the build-up of sleepiness as caffeine (Landolt, & Van Cauter, 2004). This change in diet may have a similar effect on the build-up of sleepiness as caffeine (Landolt, & Van Cauter, 2004). This change in diet may have a similar effect on the build-up of sleepiness as caffeine (Landolt, & Van Cauter, 2004). This change in diet may have a similar effect on the build-up of sleepiness as caffeine (Landolt, & Van Cauter, 2004). This change in diet may have a similar effect on the build-up of sleepiness as caffeine (Landolt, & Van Cauter, 2004). This change in diet may have a similar effect on the build-up of sleepiness as caffeine (Landolt, & Van Cauter, 2004). This change in diet may have a similar effect on the build-up of sleepiness as caffeine (Landolt, & Van Cauter, 2004). This change in diet may have a similar effect on the build-up of sleepiness as caffeine (Landolt, & Van Cauter, 2004).

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CONFLICT OF INTEREST

The authors declare no competing financial interests.

DATA ACCESSIBILITY

The authors deposit supporting information and data-files to Figshare for data archiving https://figshare.com/s/f2db8ab6b1ee1b9e875.

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**SUPPORTING INFORMATION**

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